

# CityU Corpus of Essay Drafts of English Language Learners: A Corpus of Textual Revision in Second Language Writing

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## Abstract

Learner corpora consist of texts produced by non-native speakers. In addition to these texts, some learner corpora also contain error annotations, which can reveal common errors made by language learners, and provide training material for automatic error correction. We present a novel type of error-annotated learner corpus containing sequences of revised essay drafts written by non-native speakers of English. Sentences in these drafts are annotated with comments by language tutors, and are aligned to sentences in subsequent drafts. We describe the compilation process of our corpus, present its encoding in TEI XML, and report agreement levels on the error annotations. Further, we demonstrate the potential of the corpus to facilitate research on textual revision in L2 writing, by conducting a case study on verb tenses using ANNIS, a corpus search and visualization platform.

## 1. Introduction

This article presents a learner corpus that consists of sequences of essay drafts, written by language learners and marked by language tutors. This corpus is designed to facilitate research on how language learners revise their writing, and how feedback influences their revision.

Simply put, learner corpora “have all the characteristics commonly attributed to corpora, the only difference being that the data come from language learners” (Granger 2008). These corpora may be classified in several dimensions. Some, such as the *Cambridge Learner Corpus* (Nicholls 2003), are commercial, initiated by major publishing companies; while others, for example the *International Corpus of Learner English* (ICLE) (Granger et al. 2009), are academic. Although English is the dominant language, there is an increasing number of learner corpora in other languages, such as German (e.g., Lüdeling et al. 2008). Most learner corpora contain written texts, but those made up of spoken data and computer-mediated communications are also available (e.g., Belz and Vyatkina, 2005). Corpora also differ in terms of the method of text collection. The learner texts may be collected as a by-product of classroom activities, or compiled from other sources. Cross-sectional corpora contain texts written by different learners at a single point in time; longitudinal ones include texts written by the same learners over time. Learner corpora can be further characterized by learner variables, including age, gender, region, mother tongue background, L2 learning context and proficiency level and L2 exposure, and knowledge of other foreign languages.

In some learner corpora, texts are further enriched with two kinds of information: grammatical and error annotations. Grammatical annotations can include part-of-speech tags and syntactic structures (Reznicek et al. 2013). An error annotation may simply indicate an error category (e.g., Nagata et al. 2011), e.g., marking an inappropriate proposition with the category “wrong preposition”. It may also come in the form of a target hypothesis (e.g., Dahlmeier and Ng

2011; Lüdeling et al. 2008; Nguyen and Miyao, 2013)<sup>1</sup> — i.e. a corrected or reconstructed version of the learner sentence — in which case the appropriate preposition is also supplied. Grammatical and error annotations can be exploited not only in the research of Second Language Acquisition and Foreign Language Teaching (Granger 2004; Nesi et al. 2004), but also in automatic correction of grammatical errors (Lee and Seneff 2008; Dale and Kilgarriff 2011).

To date, learner corpora have concentrated only on the final form of learner texts, i.e., the end result of the learner's language production process. This process, however, is often an iterative one, with cycles of textual revision, either self initiated or guided by various interventions from the teacher (Graham and Perin, 2007). Understanding the dynamics of this revision process is not only interesting for its own sake; it would also help language teachers to develop their teaching and marking strategies, and facilitate the design of writing assistance tools (e.g., Burstein and Chodorow 2004). How do learners correct their own mistakes? Which structures are most prone to revision and which most resistant? How do learners respond to ~~teacher~~ feedback? Which kinds of feedback are most likely to lead to changes and how often do such changes improve the text or detract from it, and how?

Through a web-based EFL writing environment, the XWiLL project offers a searchable database of essays written by students with comments given by teachers (Wible et al. 2001); however, the impact of the comments on students' revisions cannot be directly traced. One corpus that aims to address this question is the Malmö University-Chalmers Corpus of Academic Writing as a Process (Eriksson et al. 2012). It is expected to include 450 student texts, ranging from undergraduate to PhD levels, along with peer and teacher feedback. Our corpus focuses only on essays by undergraduate learners, but at a much larger scale, with more than 4,000 essays and over 8 million words. These essays are annotated with error categories and comments from language tutors. Further, for each essay, the corpus includes not only its final version, but also its earlier drafts, with sentence and word alignments (see Figure 2). By combining these annotations and alignments, our corpus provides the largest resource to-date that facilitates research on language learners' revision process, and how it is influenced by feedback.

This article discusses the content, construction of and access<sup>2</sup> to the corpus. In the next section, we introduce the compilation, annotation and architecture of the corpus. In Section 3, we report on the conversion process of the corpus material from its original HTML format, as blogs in an e-learning environment, to TEI XML. Although the TEI representation is capable of marking up all the information in the corpus, it still requires considerable programming work to gather non-trivial statistics and create sensible visualizations of the corpus. In Section 4, we discuss how we reduced this technical barrier by importing the corpus to ANNIS, a corpus search and visualization platform (Zeldes et al. 2009). Section 5 presents a case study on verb tense errors using ANNIS. Finally, Section 6 concludes with suggestions for future research directions.

## 2. Corpus Material

The material in this corpus originated with the Language Companion Course (LCC) project at City University of Hong Kong. The project was implemented over seven consecutive semesters, from 2007 to 2010, involving over 4,200 predominantly Chinese students (Webster et al. 2011).

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<sup>1</sup> Target hypotheses are costly to produce and often overlooked, but are nevertheless crucial, since any form of error annotation implies a comparison with what the annotator believes the learner was trying to express. Failing to explicitly document error hypotheses can lead to error annotations that are inconsistent and difficult to rationalize. For extensive discussion, see Lüdeling & Hirschmann (to appear).

<sup>2</sup> We are working towards making the corpus publicly available; in the meantime, researchers may contact the first author to gain online access to the corpus.

Essays in the corpus were composed by students from across 13 disciplines, representing a wide range of subject areas, including humanities and social sciences, natural sciences and engineering, business, law, and creative media (see Table 1); and a variety of essay genres. Science and engineering courses assigned lab reports<sup>3</sup>; business courses often involved case studies; linguistics students presented data analyses; and social sciences students wrote argumentative essays. Across all disciplines, article summaries were also assigned.

To support this large body of students, the project recruited staff members of the university's English Language Center, and more than 300 language tutors. The majority of the tutors were students studying TESOL at one of the university's global partner institutions, including the University of Sydney, Brigham Young University and the University of British Columbia. While full details about the tutors from the partner institutions is unavailable, it was the case that whereas the post-graduate students in TESOL from University of Sydney came from a variety of language backgrounds, the undergraduates majoring in TESOL from Brigham Young University were for the most part native speakers of English.

## 2.1 Drafts

We collected the learner texts and comments from an e-learning environment, the Blackboard system (<http://www.blackboard.com>). Using a word processor built into this environment, the students composed and submitted drafts for written assignments. These drafts were saved as blog entries in HTML format. The tutors then provided feedback on language issues by highlighting problematic words and inserting comments into the drafts. Subsequently, students made revisions to their texts. This cycle continued until the students uploaded a final version to be graded by the professor.

In the rest of this article, each submission is considered a *draft*; a sequence of successive drafts, including the final version, will be referred to as *draft #1*, *draft #2*, etc. One such sequence will be called an *essay*. Our corpus contains 4,337 essays. With an average of 2.7 drafts per essay, there are a total of 11,489 drafts. The average length of a draft is 750 words, yielding a corpus with 8,046,291 words, among the largest annotated learner corpora ever constructed. Detailed statistics can be found in Table 2.

## 2.2 Error categories

Error annotations were created during the revision process by the tutors. Tutors inserted comments into the drafts in one of two ways: First, they were allowed to select an error category from a fixed list, called the *comment bank*. Adopted from the XWiLL project (Wible et al. 2001) but considerably expanded, the comment bank contains a total of 78 categories, each given a numeric code. In Figure 1, for example, the tutor inserted the code “38” in square brackets, which refers to the error “relative pronoun — missing”. We will call this kind of comment an *error category*. Appendix 1 shows some example sentences annotated with various error categories.

We classified each error category into one of three levels: the essay level, clause level, or word level. Table 3 shows the most frequently error categories at each level. At the essay level, most categories deal with issues of coherence with a few categories relating to informal language

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<sup>3</sup> For lab reports, we include only the discussion section since other sections contain many equations, numbers and sentence fragments.

and the essay structure. At the clause level, categories include punctuation errors, incorrect use of conjunctions and wrong word order. At the word level, most categories deal with grammatical mistakes, including errors concerning word choice and spelling. The most frequent errors, involving articles, noun number, subject-verb agreement and prepositions, are similar to those found in other English learner corpora, particularly those by native speakers of Chinese and Japanese (Lee and Seneff 2008; Han et al. 2006).

### 2.3 Open-ended comments

As an alternative to the standard error categories, tutors were also allowed to insert custom comments; these will be referred to as *open-ended comments*. Students found detailed comments, specific to their work, to be the most important and useful form of feedback (Lipnevich and Smith, 2009). Consistent with this finding, a meta-analysis also concluded that students benefit more from general explanations of a grammatical phenomenon than from identification of specific errors (Biber et al., 2011). In our corpus, the open-ended comments may explain why a highlighted text was problematic, provide revision suggestions, or raise a question for clarification. In Figure 1, instead of simply using the error category “Pronoun – unclear reference”, the tutor chose to insert the comment [Be more explicit ...] to provide a clearer diagnosis of the problem. While most open-ended comments aim at particular words and phrases, they can also address paragraphs or even the entire essay, such as the comment [Nice report! ...] in Figure 1. Such comments are typically placed either at the beginning or end of a draft.

Tutors were more keen to use error categories than open-ended comments; the former accounts for more than 67% of all comments in the corpus. Both kinds of comments become less frequent, however, as students progress in the revision cycle (see Table 4). For draft #1, more than 2 error categories appear every 100 words; in draft #3 and later, the figure drops to 0.16. A similar trend is observed for open-ended comments. This considerable drop corroborates with previous findings that feedback does help students improve the overall quality of their drafts (Paulus 1999).

| <b>Discipline</b>                        | <b># Essays</b> | <b>Discipline</b>      | <b># Essays</b> |
|--|-----------------|------------------------|-----------------|
| Applied Physics                          | 288             | Electronic Engineering | 460             |
| Asian and International Studies          | 118             | General Education      | 172             |
| Biology                                  | 618             | Law                    | 20              |
| Building Science and Technology Business | 249             | Linguistics            | 644             |
| Business                                 | 690             | Management Sciences    | 414             |
| Computer Science                         | 148             | Social Studies         | 477             |
| Creative Media                           | 39              |                        |                 |


Table 1. The corpus contains a total of 4337 essays from 13 different disciplines.

|                                   | <b>Draft #1</b> | <b>Draft #2</b> | <b>Draft #3+</b> |
|-----------------------------------|-----------------|-----------------|------------------|
| <b># Sentences per draft</b>      | 57.24           | 60.49           | 40.63            |
| <b># Sentences per paragraphs</b> | 4.50            | 4.38            | 4.15             |
| <b># Words per draft</b>          | 707.58          | 782.52          | 682.45           |
| <b># Words per sentence</b>       | 12.36           | 12.94           | 16.80            |

Table 2. Statistics on the size of essays, paragraphs and sentences in the corpus. Draft #3+ includes all drafts #3 and beyond.

**Clause Level**

|                              |   |
|------------------------------|---|
| <b>Comment</b>               | <b>Relative pronoun - missing</b>   |
| <b>Explanation</b>           | You need to link these phrases with relative pronouns   |
| <b>Examples of Wrong Use</b> | The student gave the presentation made some interesting points.   |
| <b>Correct Use</b>           | The student <u>who</u> gave the presentation made some interesting points.  |
| <b>External Links</b>        | <a href="http://owl.english.purdue.edu/owl/resource/645/01/">http://owl.english.purdue.edu/owl/resource/645/01/</a> |



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dramatic increase of phage titres, reaching to the value of 270million p.f.u./ml. This shows the phenomenon of one-step growth for the T4 bacteriophage.

In each sample time, the phage titres represent the total number of phage that is inside the growth tube, including both the infected virus and [01]suspended virus. Because of it [Be more explicit; what do you refer by 'it' ], a sample tube [38]has added in CHCL is used as a control. CHCL can killed infected virus, only the suspensions virus will be counted in the overlay method. By this information, the percentage of viral adsorption can be determined.

In this experiment, error is exist [17]as there is several practical mistake[plural] have been noticed. They include adding wrong amount of solution in the dilution process and using the wrong auto pipette in the mixing procedure. In order to have a more accurate, the technique needed [present tense]to be improved.

[Nice report! You have explained your experiment and your results succesfully. However, it is very important for a Lab report to include background information of previous studies in the Introduction stage, as well as to relate these studies findings with your own results in the Discussion stage. In order to validate your results, to need to support them by referencing other results found in some other studies. In addition, it is essential that in the Discussion stage you make reference to what you stated in your Introduction, so as to reject or support your research purpose. Please bear in mind these comments to prepare assignment 1. I'm looking forward to reading your next piece of work. Best,]

Figure 1. An essay draft annotated with error categories (e.g., [38]) and open-ended comments (e.g., [Be more explicit ...]). The pop-up window offers explanations for the error category.

| Essay-level error categories           | # Comments |
|--|------------|
| Informal language                      | 1321       |
| Coherence - More Elaboration is Needed | 655        |
| Paragraph - new paragraph              | 516        |
| Coherence - signposting                | 315        |
| Coherence - missing topic sentence     | 191        |
| Clause-level error categories          | # Comments |
| Punctuation - missing                  | 2371       |
| Conjunction Missing                    | 1874       |
| Word order                             | 1577       |
| Punctuation - capitalisation           | 1475       |
| Sentence - New sentence                | 1345       |
| Word-level error categories            | # Comments |
| Article missing                        | 10280      |
| Delete this (unnecessary)              | 9109       |
| Noun - countable                       | 7066       |
| Verb - subject-verb agreement          | 3929       |
| Preposition - wrong use                | 3718       |

Table 3. The five most frequently used error categories, at the essay, and clause and word-levels.

| Comment type        | Draft #1     | Draft #2     | Draft #3+   |
|---------------------|--------------|--------------|-------------|
| Open-ended comments | 33534 (1.24) | 17597 (0.87) | 1304 (0.15) |
| Error categories    | 60875 (2.26) | 24341 (1.20) | 1379 (0.16) |

Table 4. The number of open-ended comments and error categories found in various stages of the revision cycle. The numbers in brackets show the number of comments per 100 words.

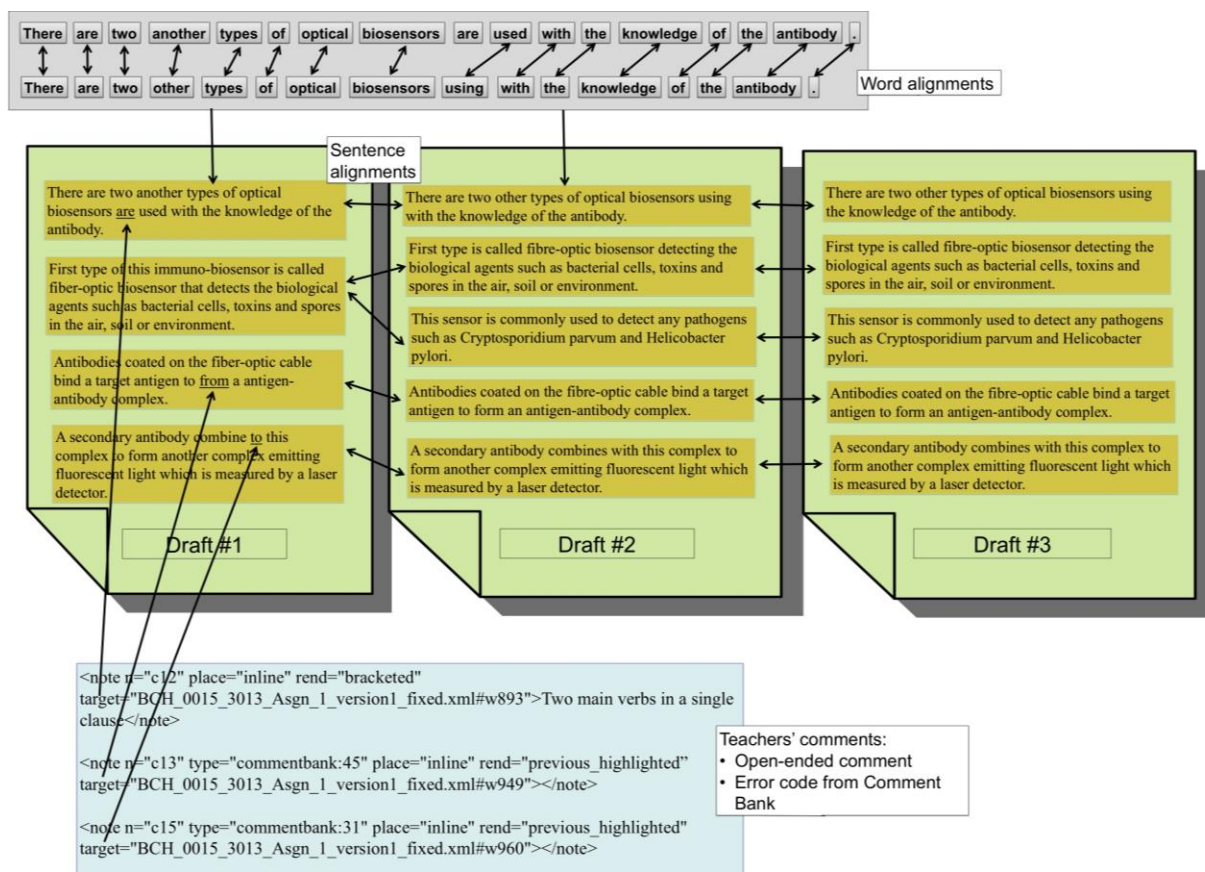


Figure 2. The corpus contains successive drafts of an essay with tutors' comments, as well as sentence and word alignments.

### 3. Conversion to TEI XML

When the LCC project was conceived, there was no plan to organize the material into a digital corpus. The essay drafts were simply saved as blog entries in HTML format; the comments were marked inline, and not always consistently, in the blogs. Our first task in building the corpus was to convert these blogs into a structured format. Figure 2 graphically depicts the corpus structure.

The Text Encoding Initiative's TEI XML (<http://www.tei-c.org>) is a widely adopted format for text representation and interchange. We chose to encode the corpus according to the current TEI P5 guidelines as this format facilitates further processing by a variety of tools. In our case, we subsequently converted the data for access using ANNIS (see Section 4). The next section explains how each blog entry was stored as two TEI XML files, encoding the essay and the comments respectively. Section 3.2 describes how TEI XML files were generated for sentence and word alignments.

### 3.1 Drafts

After downloading the drafts as blogs from the Blackboard system, we took the following three steps to convert them to the TEI format.

#### *Automatic Linguistic Annotations*

While paragraph breaks can be unambiguously derived from the HTML format, sentence and word boundaries are not explicitly marked. Using Stanford CoreNLP tools (Toutanova and Manning 2000; Toutanova et al. 2003), we split the text into sentences, tokenized the text into separate word forms, and added lemmas and POS tags to the words following the Penn Treebank tagset (Marcus et al. 1993). After these steps, one TEI XML document was generated for each draft. Paragraphs, sentences and words are enclosed within <p>, <s> and <w> tags respectively, and the parts-of-speech of the words are stored in the ‘type’ attributes of the word tags. Each word and sentence is given a unique id so they can be referenced from other files.

In order to prevent loss of information, the original appearance of the draft is preserved as much as possible with TEI tags, even if it may not be pertinent to current research questions. For example, we use <hi> for highlighted text, and for rendering encoding styles such as bold, underline, strike-through, superscript and subscript. For other special graphical objects that were difficult to capture in text, e.g. pictures and tables, we use appropriate tags like <figure> or <table> without retaining the original graphics.

#### *Mapping comments to text spans*

Error categories and open-ended comments are enclosed in brackets and embedded within the drafts. Each embedded comment addresses a particular text span. The text span concerned was indicated either by the font color or the background color of the words in the blog’s HTML format. When an open-ended comment is attached to the beginning or the end of a draft, it is taken to address the entire draft.

The comments are stored in a separate TEI XML file using the <note> tag. Error categories are stored in the ‘type’ attribute while open-ended comments are stored as the text within the tags. The ‘place’ attribute indicates whether the comment is inline (usually aimed at a word or sentence), or at the beginning or the end of the draft (usually aimed at the entire draft). The ‘target’ attribute stores the text span at which a comment is aimed; the text span can be a word element or a range of word elements in the draft.

After this mapping process, we evaluated whether the error categories are valid, i.e., applicable to the text span at which they are aimed<sup>4</sup>. In this evaluation, we concentrated on the four most frequently categories (see Table 3). To be valid, the “article missing” category must be followed by a noun phrase; the “noun countable” category must comment on a noun; the “verb-subject agreement” category must comment on a verb in simple present tense; and the “preposition wrong use” category must comment on a preposition<sup>5</sup>. These categories were found to be valid 96.9%, 99.3%, 97.6%, and 97.4% of the time.

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<sup>4</sup> Whether the text span contains the specified error is a separate question that will be addressed in Section 3.3.

<sup>5</sup> We omitted the “Delete this” category, since it can be applied on any kind of word, and so it is always valid by definition.



### *Title and metadata extraction*

Most blogs begin with a header, which contains the essay title and metadata such as dates, course codes, grade, assignment and draft numbers, as well as the names and IDs of the student and tutor, which are anonymized. These metadata can facilitate studies on longitudinal improvement, i.e., whether and how a student improved his/her writing through the semester. We extracted the title from the beginning of the header; for ambiguous cases, we compared the extracted title with its counterparts in other drafts of the same essay. Table 5 shows how the title and metadata are stored in components of the <teiHeader>.

| Components        | Tags                        | Information   |
|-------------------|-----------------------------|---|
| <titleStmt>       | <title>                     | Title of the essay                                      |
|                   | <author>                    | Anonymous student ID                                    |
|                   | <editor>                    | Anonymous language tutor ID                             |
| <editionStmt>     | <edition>                   | Draft number (e.g., #1, #2 or #3)                       |
| <publicationStmt> | <date>                      | Date of the assignment                                  |
|                   | <idno type="semester">      | Year and semester name (e.g., A or B)                   |
|                   | <idno type="course_code">   | Course code   |
|                   | <idno type="assignment_no"> | Assignment number, in courses with multiple assignments |
|                   | <idno type="grade">         | Grade of the assignment (final drafts only)             |

Table 5. Components of <teiHeader> and the tags and information stored therein.

### 3.2 Sentence and Word Alignments

To study the revision process, it is imperative to examine how an original sentence in an older draft was edited to form new sentence(s) in the next draft. We automatically obtained sentence and word alignments and included them in the corpus.

#### *Sentence alignment*

This task has been studied in the context of translation of revised documents (Shemtov 1993). Similar to the micro-alignment step in (Barzilay and Elhadad 2003), we used the cosine measure as the lexical similarity metric, and also allow sentence insertion, deletion, merge (two-to-one), and split (one-to-two) alignment. For each consecutive pair of drafts (e.g., drafts #1 and #2, or #2 and #3), the globally best alignment is determined using dynamic programming.

Sentence alignment can be ambiguous. Suppose two sentences, at similar positions in both drafts, share a considerable number of words. The first sentence might have been edited into the second, in which case they should be aligned; alternatively, the first sentence might have been simply deleted and the second inserted, in which case they should not be aligned. Our principle was to prefer higher recall of alignments at the risk of lower precision – i.e. to align sentence pairs with relatively low similarity – since it is much easier for the corpus user to discount an alignment than to recover an unidentified alignment. This policy was achieved by setting a relatively high cost for insertion and deletion, merge and split.

We chose to use the XCES recommendation for sentence alignments. XCES is the XML application of the Corpus Encoding Standard, a widely accepted set of standards for encoding



document structures and linguistic annotations in corpus-based work (Ide et al., 2000). For each consecutive pair of drafts, we encode the sentence alignments in a separate TEI file using <link> tags. Each <link> element has four attributes: 'prev' and 'next' store the two sentence IDs concerned, 'type' stores the alignment type, which may be 'identical', 'edited', 'split', or 'merged'. A non-aligned sentence may have the alignment type 'deleted' or 'inserted'. There is no 'next' attribute in the former case and no 'prev' attribute in the latter case.

To evaluate the quality of the automatic sentence alignments, we asked a human judge to manually align the sentences for 14 pairs of drafts. Taking the human alignments as reference, the accuracy of the automatic sentence alignments is 89.8%, measured from the perspective of sentences in the earlier draft.

### *Word alignment*

On the pairs of sentences aligned in the previous step, we further performed word alignment. We obtained word alignments with a tool that calculates the Translation Error Rate, an evaluation metric for machine translation (Snover et al. 2006). This tool generates word alignments as a side product as it calculates the number of word insertions, deletions, substitutions, and shifts between two sentences. Since the "shift" operation allows crossed word alignments, this tool is more suitable for our purposes than most other alternatives.

Similar to sentence alignments, the word alignments are also stored in a separate TEI XML file using the same XCES conventions. Each <link> element has three attributes: 'prev' and 'next' store the IDs of the two words concerned; and 'type' stores the alignment type, which may be 'identical', 'edited', or 'shifted'. Similar to sentence alignment, a non-aligned word may have the alignment type 'deleted' or 'inserted'.

### 3.3 Level of agreement

In order for the corpus to be useful, the reliability of the error annotations is critical. This is usually measured by the level of agreement, i.e., how often two humans agree on their error diagnoses on a learner text.

Most past studies focused on a related but different question: the level of agreement on word selection, typically in the context of fill-in-a-blank. Tetreault and Chodorow (2008) conducted an experiment on preposition selection. They presented to human judges well-formed English sentences with one preposition blanked out, and asked the judges to supply the most appropriate preposition. Two native speakers of English chose the same preposition 76% of the time. Lee et al. (2009) performed a similar evaluation on choosing the best article (definite, indefinite, or null) and number (singular or plural) for noun phrases. The choices of the human judges matched those in the original text only 72% of the time.

Compared to word selection, error diagnosis on learner text is different and can be expected to be more difficult in two ways. First, sentences in learner texts, with their many and often interacting errors, tend to yield multiple interpretations; for each interpretation, there can then be different ways to fix the error, further multiplying the number of possible target hypotheses (Lüdeling et al. 2008). Second, error diagnosis implicitly demands a judgment on the "acceptability" of a word. Humans often disagree on where to draw the line between a passable word choice and one that ought to be corrected. Error diagnosis, then, can be as difficult, if not more so, than word selection. The difficulty was reflected in a study on the NUCLE corpus,

recently used in a shared task for automatic grammar correction (Dahlmeier et al., 2013). When comparing three independent annotations of a sample of 96 essays, the average Kappa is 0.39 for agreeing on the existence of a grammatical error, and 0.55 for agreeing on its error type.

Our evaluation focused on the five most frequent error categories (see Table 3). For each category, we randomly selected 200 sentences that contain a text span annotated with that category. We then asked a human judge<sup>6</sup> to decide whether the text span should be revised. Table 6 shows how often the human judges agreed with the original annotations by the tutors<sup>7</sup>. The agreement level ranged from 73.9% for “preposition wrong use”, the most challenging category, to 87.1% for “article missing”. These figures corroborate with previous studies in showing error diagnosis on learner text to be highly ambiguous; they also suggest that the reliability of the tutor annotations in our corpus is comparable with existing learner corpora.

| Error Category                | Agreement level |
|-------------------------------|-----------------|
| Article missing               | 87.1%           |
| Noun countable                | 78.4%           |
| Delete this                   | 78.9%           |
| Verb – subject-verb agreement | 78.8%           |
| Preposition wrong use         | 73.9%           |

Table 6. Agreement level between the tutors and human judges in the top five error categories.

## 4. Access via ANNIS

Although encoded as TEI documents, the corpus still demands considerable programming knowledge and effort<sup>8</sup> on the part of the user to collect meaningful statistics. To reduce the technical barrier and to provide a convenient graphical interface to view results, we imported our corpus into the ANNIS system, an open source, browser-based corpus search platform for richly annotated corpora (Zeldes et al. 2009). As an example of its capability, Figure 3 shows a query that returns all sentences with the indefinite article “a” that has been annotated with the error category “article – wrong use” (error category “5”) and revised to “the” in the next draft. We describe the corpus architecture in Section 4.1, then summarize the conversion process from TEI XML to ANNIS in Section 4.2.

### 4.1 Annotation Layers

Our corpus has various types of annotations that may overlap one another; for example, there can be multiple comments addressing overlapping text spans. Independent annotation layers, encoded in a multilayer architecture, are the most suitable representation, as has been argued

<sup>6</sup> Two human judges, one a native speaker of English and the other a near-native speaker, participated in this evaluation.

<sup>7</sup> Our evaluation does not estimate the coverage, or recall, of the tutor comments, i.e. the proportion of errors in the learner text that were annotated. Since the tutors were not asked to exhaustively annotate all errors in the text, this figure would not be meaningful.

<sup>8</sup> E.g. using XQuery, a generic query language for XML documents, see <http://www.w3.org/TR/xquery-30/>

before (Reznicek et al. 2013). In such an architecture, any number of types of annotations may be saved in a fashion that prevents one annotation layer from conflicting with another. This allows us to annotate the same category multiple times (e.g. multiple competing part-of-speech annotations), to add different categories to a corpus retroactively without disrupting existing annotations, and to annotate structures that conflict hierarchically, e.g. annotations beginning and ending in the middle of other annotations or discontinuous annotation spans.

The screenshot shows the ANNIS interface. On the left is the 'Search Form' with an 'AnnisQL' field containing the query: `"a" & "the" & CommentBank="5" & #1 -> WordAlign #2 & #1 =_ #3`. Below it are 'Show Result' and 'History' buttons, and a status bar indicating '56 matches in 51 documents'. The 'Corpus List' on the left shows 'cityu.corpus' with 11,166 texts and 8,046,291 tokens. The main results area on the right displays three sentences (14, 15, and 16) with their token annotations. Sentence 14 is: 'manager responsible for coordinating with a project manager responsible for coordinating'. Sentence 15 is: 'manager responsible for coordinating with a design team to resolve engineering'. Sentence 16 is: 'Second, William should create a effective team, that mean'. The annotations show the words and their corresponding POS tags, with the word 'a' highlighted in red in sentence 14 and 'the' highlighted in red in sentence 15.

Figure 3. The ANNIS interface, showing the result of the query for displaying all sentences with the indefinite article “a” that has been annotated with the error category “article – wrong use” (error category “5”) and revised to “the” in the next draft.

Conceptually, a corpus in ANNIS can be thought of as a graph with nodes and edges. Nodes represent tokens (word forms) or categories grouping several tokens or categories hierarchically. They may carry any number and type of annotations. The words in our learner texts and their linguistic annotations, as well as the comments, are stored as nodes. As listed in Table 7, there are nine annotation layers; an example sentence is shown with these layers in Figure 4.

Edges in ANNIS represent connections or relations between nodes. One node can dominate another (its constituents), or point to another with a certain relationship type (e.g. parallel alignment); the types of relationships can be further extended and defined. In our corpus, the sentence and word alignments are represented as edges, which are annotated with the attributes listed in Table 8.

## 4.2 Conversion to ANNIS

Many different XML formats can be imported into the data model of ANNIS. The most powerful of these in terms of expressivity is PAULA XML (Dipper 2005), which can represent all annotations in the corpus. There are tools to convert between ANNIS or PAULA XML and a variety of formats, including TIGER XML, EXMaRALDA XML and more using the multi-format, meta-model based converter framework SaltNPepper (Zipser & Romary 2010). In order

to represent the full array of annotations, including annotation spans, parallel alignment on multiple levels and metadata, we have converted our TEI documents into PAULA XML, which was subsequently imported into ANNIS using SaltNPepper.

|                     |                                       |      |    |      |   |      |       |    |            |        |   |           |        |            |
|---------------------|---------------------------------------|------|----|------|---|------|-------|----|------------|--------|---|-----------|--------|------------|
| Comment             | this should be a phrase, not a clause |      |    |      |   |      |       |    |            |        |   |           |        |            |
| CommentBank         | 52                                    |      |    |      |   |      |       |    |            |        |   |           |        |            |
| CommentBank         | 6                                     |      |    |      |   |      |       |    |            |        |   |           |        |            |
| Paragraph           | p                                     |      |    |      |   |      |       |    |            |        |   |           |        |            |
| SemesterCommentBank | 32                                    |      |    |      |   |      |       |    |            |        |   |           |        |            |
| SemesterCommentBank | 1                                     |      |    |      |   |      |       |    |            |        |   |           |        |            |
| Sentence            | s                                     |      |    |      |   |      |       |    |            |        |   |           |        |            |
| Style_Render        | underline                             |      |    |      |   |      |       |    |            |        |   |           |        |            |
| Delete              | del                                   |      |    |      |   |      |       |    |            |        |   |           |        |            |
| lemma               | be                                    | five | of | they | , | each | study | on | particular | aspect | , | include   | nature | of service |
| pos                 | VBP                                   | CD   | IN | PRP  | , | DT   | NN    | IN | JJ         | NN     | , | VBG       | NN     | IN NN      |
| tok                 | are                                   | five | of | them | , | each | study | on | particular | aspect | , | including | nature | of service |

Figure 4. A sentence visualized as a grid, showing features from the various annotation layers (see Table 7), including an open-ended comment (“Comment” layer) and error categories (“CommentBank” layer).

| Layer        | Description   | Layer                      | Description                                 |
|--------------|---|----------------------------|---|
| tok          | Word written by learner                                       | pos                        | Part-of-speech tag from Stanford tagger     |
| Sentence     | Marks start and end of sentence                               | Comment                    | Open-ended comment                          |
| Paragraph    | Marks start and end of paragraph                              | CommentBank                | Error categories                            |
| Style_Render | Indicates how the text is rendered (e.g. boldface, underline) | Delete/Insert <sup>9</sup> | Whether a word has been deleted or inserted |
| lemma        | Lemma from Stanford tagger                                    |                            |   |

Table 7. Annotation layers in our corpus.

| Attribute  | Sentence alignment  | Word alignment  |
|------------|---|---|
| From draft | The version number of the draft from which the sentences are aligned. | The version number of the draft the words are aligned from. |
| To draft   | The version number of the draft to which the sentences are aligned.   | The version number of the draft the words are aligned to.   |
| Type       | ‘identical’, ‘replace’, ‘merge’ or ‘split’                            | ‘identical’, ‘replace’ or ‘shift’                           |

Table 8. Annotations of sentence alignment edges and word alignment edges.

## 5. Analysis of Textual Revisions: Case Study on Verb Tense

To demonstrate the research potential of the corpus, we present a case study on the influence of feedback on learners’ revision behavior on verb tense, a common error type in the corpus. Whereas previous studies (e.g., Granger 1999) focus only on the nature of the errors, our corpus

<sup>9</sup> Although not strictly necessary, this layer improves performance when searching for absence of alignment.

will enable us to report how often and how these errors are revised, and the impact of feedback on the revision.

The utility of feedback for language learners remains an open question (Truscott 1996; cf. Russel and Spada 2006 for critical discussion). When both grammatical and content feedbacks were given, Fathman & Whalley (1990) reported that all students improved their grammatical accuracy, while 77% also improved the content of their writing. Ferris (1997) found that whenever ESL students responded to teachers' feedback and made changes, the changes almost always led to overall improvement in their papers<sup>10</sup>. In contrast, Polio and Fleck (1998) found no significant difference between the improvements of the linguistic accuracy of two groups of ESL students, where one group received error correction on their journal entry before revision while the control group received no feedback.

This case study focuses on present and past tenses, the most common tenses in the corpus; the four error categories<sup>11</sup> concerned are thus 'verb - present simple' (i.e., revision to present simple is suggested), 'verb – past simple', 'verb – present perfect' and 'verb – past perfect'. We first give an overview of the ANNIS Query Language (AQL) in Section 5.1, showing how it can access, query and visualize relevant materials with a handful of simple queries<sup>12</sup>. We then report an evaluation on the quality of the annotations in Section 5.2, and discuss the results in Section 5.3.

## 5.1 Queries in ANNIS

Throughout the study, we rely on ANNIS to generate quantitative data. The ANNIS Query Language (AQL) is designed to search for node elements and the edges between them. Roughly speaking, one first specifies the relevant nodes, then states the constraints that must hold between them, and possibly adds metadata restrictions. A node element can be a word, e.g. the query:

```
POS=/ (VB|VB[PZ]) /
```

finds all words tagged as VB, VBP or VBZ, the tags for present-tense verbs in the Stanford POS tagset. Attribute-value pairs can also be used, e.g.

```
CommentBank="85"
```

finds the error category 85, 'verb – past simple', i.e. past simple tense is needed. When specifying multiple elements, the relationship between them must be stated, e.g. both annotations applying to the same position, as in:

```
POS=/ (VB|VB[PZ]) / & CommentBank="85" & #1 __ #2
```

---

<sup>10</sup> Similar conclusions were made by Ashwell (2000), Ferris and Roberts (2001), Chandler (2003) and Truscott and Hsu (2008).

<sup>11</sup> In this study, we do not consider open-ended comments on verb tense errors, since they vary in terms of the explicitness of the feedback, making it difficult to compare their impact. Furthermore, among comments leading to verb tense revision, open-ended comments (16%) are much less frequent than error categories (84%).

<sup>12</sup> The interested reader is referred to <http://www.sfb632.uni-potsdam.de/annis/> and to (Krause & Zeldes, to appear) for more detail on how the interface can perform sophisticated queries to answer research questions flexibly and without programming skills.

This query searches for present-tense verbs and the error category 85, and further specifies that the former (#1) covers the same text as the latter (#2), using the operator `_=_`. To add the constraint that the present-tense verb was revised to past simple, we add a third search element to find all words tagged as VBD, the tag for past simple verbs in the Stanford POS tagset. We then use the arrow operator (`->`) and the edge type WordAlign to require this past-tense verb (#3) be aligned to #1:

```
POS=/(VB|VB[PZ])/ & CommentBank = "85" & POS="VBD" & #1 _=_ #2 & #1 ->WordAlign #3
```

In summary, this query searches for cases of a verb in present simple tense which is revised to the past simple in response to the error category ‘verb – past simple’. Some search results are shown in Figure 5.

## 5.2 Parser evaluation and agreement level

Since our analysis relies on the output of the automatic Stanford POS tagger (Toutanova and Manning 2000; Toutanova et al. 2003), we would like to measure its accuracy on recognizing verb tenses in learner text. We randomly selected 100 sentence pairs involving revised verb tenses, and examined the POS tags assigned by the tagger to the verbs. The accuracy of these tags was 97%. Although automatic syntactic analysis for noisy text is a challenging task (Foster et al., 2008), the tagger seemed capable of correctly analyzing verb tenses in most cases.

Our analysis also relies on error annotations by the tutors. Similar to the evaluation in Section 3.3, we used these 100 sentence pairs to measure the level of agreement on verb tense error diagnosis. A human judge annotated these errors, which were then compared with the original annotations of the tutors. The agreement level was 93%, higher than the other categories reported in Section 3.3. Most disagreements appeared to involve the use of the perfect aspect; for example, whether a past/present perfect tense was more appropriate than the past simple, or vice versa.

## 5.3 Results

As shown in Table 9, it is much more common for students to use the present simple tense where the past was needed, compared to the reverse direction. This tendency may be influenced by the students’ L1: since Chinese verbs are not inflected, students preferred by analogy the uninflected form in English, which happens to be the present simple.

As for the students’ revision behavior, our corpus shows the feedback take-up rate to be mixed. When asked to change from other tenses to the present simple, students responded at a rate of over 76%; in contrast, when asked to change to the past perfect, they responded less than 43% of the cases. One explanation could be that they were not as familiar with the past perfect tense as with the present simple. In general, the feedback take-up rate in our corpus is lower than those in the literature. For example, in one study on about 1500 teacher comments, only 14% of the comments were left unaddressed by the students in out-of-class revision (Ferris 1997). A larger study on more than 5700 comments yielded similar conclusions, with only 10% of the comments left unaddressed (Ferris 2006; see also the meta-analysis of a variety of studies in Russel and Spada 2006).

Even when students did respond to the feedback, it is a separate question whether they improved their writing as a result. For verb tense errors, the rate of improvement again varies according to the tense. When students responded to a suggested revision to the present simple tense, more than two-thirds of their revisions were executed successfully (compare % of changes and % of correct changes in Table 9); when responding to a suggested revision to the past perfect, however, less than a half of their revisions were correct. This discrepancy is consistent with our hypothesis above that the students were unfamiliar with the past perfect. If true, this would point to the need for giving more detailed feedback for error categories involving complex grammatical constructions.

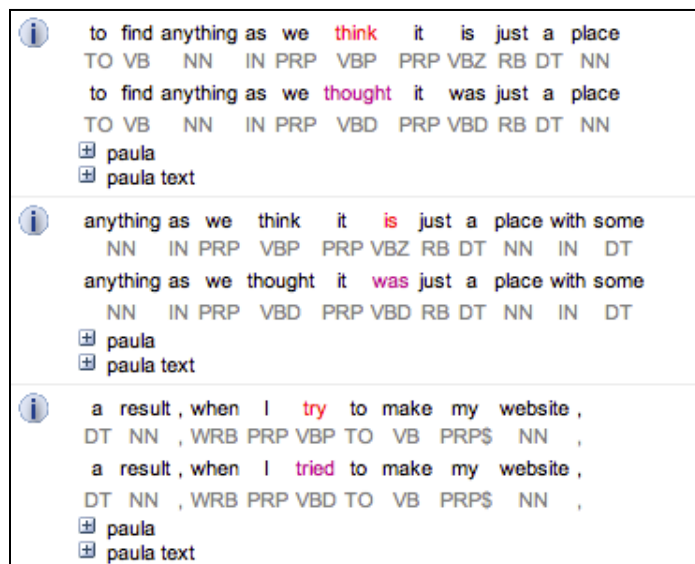


Figure 5. Partial results<sup>13</sup> of POS=/ (VB|VB[PZ])/ & POS="VBD" & CommentBank="85" & #1 -> WordAlign #2 & #1 == #3, searching all present-tense verbs that are changed to part tense in response to a comment to do so.

| → Suggested tense<br>↓ Tense in draft | Present simple | Past simple | Present perfect | Past perfect |
|---------------------------------------|----------------|-------------|-----------------|--------------|
| Present simple                        | -              | 954         | 89              | 46           |
| Past simple                           | 345            | -           | 92              | 21           |
| Past/present perfect                  | 133            | 131         | -               | -            |
| Continuous                            | 198            | 60          | 12              | 8            |
| Base form                             | 96             | 222         | 49              | 26           |
| Total                                 | 772            | 1367        | 242             | 101          |
| % of changes                          | 76.94%         | 74.91%      | 71.07%          | 42.57%       |
| % of correct changes                  | 56.09%         | 60.35%      | 42.56%          | 20.79%       |

Table 9. There are a total of 2482 comments involving the error categories 'verb – present simple' (i.e. revision to present simple tense is suggested), 'verb – past simple', 'verb – present perfect' and 'verb – past perfect'. This table shows how often these comments elicited changes and correct changes from the students in their next draft.

<sup>13</sup> Note that the first sentence is repeated because two verbs were revised.



## 6. Conclusion

We presented a corpus containing successive drafts of a large number of ESL students' essays, together with comments made by language tutors. The corpus incorporates lemma and part-of-speech annotations, as well as word and sentence alignments. It is expected to serve as a dataset on textual revision in L2 writing.

We encoded our corpus in TEI XML format, mapping the specifics of L2 error and revision annotation to standard designation, and further ported the data to ANNIS for access and query. Using straightforward queries in the ANNIS Query Language (AQL), we were able to investigate how ESL students revise verb tense errors and the uptake rate of tutors' feedback. Our analysis indicates that students respond well, though not always correctly, to direct feedback, and that some tense forms are more problematic than others.

This corpus can facilitate many directions of research. We plan to characterize learners' behavior in textual revision, for example how often sentences are split or merged and at which draft. We would also like to investigate whether and how students revise differently when given or not given feedback; and in the latter case, whether error categories or open-ended comments, and direct or indirect feedback, are more likely to elicit better responses from students.

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## Appendix 1: Error Categories<sup>14</sup>

Complete list of the error categories used in our corpus, with example sentences. The text span addressed by the error categories is enclosed in square brackets. For some of the categories, we provide an explanation rather than an example because of space constraints.

| Comment  | Example/Explanation  |
|--|--|
| Adjective Comparative / Superlative form                                 | The longer I look at the sky, the more free I feel.  |
| Article - unnecessary  | [The] oxygen is essential for health.  |
| Article - wrong use  | I bought a dress yesterday, but it was the wrong size, so I took [a] dress back to the shop.   |
| Article missing  | Please open [] window for me.  |
| Coherence - drawing a parallel between clauses                           | The more we know about yoga, we know a lot about the benefits it can bring to us.  |
| Coherence - Introductory Paragraph Missing                               | <Explanation: a formal essay should have an Introductory paragraph. It is useful if some background information of the topic, the overall viewpoint of the writer and the scope are given in the Introductory paragraph> |
| Coherence - logical sequence   | <Explanation: The student should organise the sequence of the examples more logically.>  |
| Coherence - mismatch between topic sentences and illustrations           | <Explanation: The focus of the paragraph is not supported by the illustrations.>   |
| Coherence - Missing Background Information in the Introductory paragraph | <Explanation: There is no background information in the introductory paragraph.>   |
| Coherence - missing conclusion   | <Explanation: A conclusion is needed to remind the readers of what have been discussed in the previous paragraph.>   |
| Coherence - Missing Scope  | <Explanation: The main ideas should be outlined in the introductory paragraph to let the readers know what to expect in the upcoming paragraphs.>  |
| Coherence - Missing the central focus                                    | <Explanation: There should be one central thought in each paragraph to justify its existence in the essay.>  |
| Coherence - Missing Thesis Statement in the Introductory paragraph       | <Explanation: The student should insert a thesis statement to state his/her overall opinion or to identify his/her position so that the readers know what to expect in the upcoming paragraphs.>                         |
| Coherence - missing topic sentence                                       | <Explanation: A topic sentence is needed here to outline the main idea of this paragraph.>   |
| Coherence - More Elaboration is Needed                                   | <Explanation: The main idea of each paragraph needs to be supported, explained or illustrated by relevant data, examples, descriptions or explanations to help readers to understand.>                                   |
| Coherence - signposting  | <Explanation: The student can make the relationship between ideas of paragraphs clearer by adding signposting words.>  |
| Coherence - Too many focuses in one paragraph                            | <Explanation: There are so many focuses in this paragraph that the central focus does not stand out.>  |
| Coherence - Unclear Background Information in the Introductory paragraph | <Explanation: The background information is not clearly written.>  |
| Coherence - Unclear Conclusion   | <Explanation: A conclusion usually consists of a concluding phrase, a summary of main points, and recommendations. One or more of the elements is missing.>  |

<sup>14</sup> Due to revisions over the course of the LCC project, the comment bank differed slightly for each semester; in particular, a few categories were annotated at different levels of granularity. For example, “Verb needed”, “Noun needed”, “Adjective needed”, and “Adverb needed” from one semester are subsumed by “Part-of-speech incorrect” from another semester. The more fine-grained categories are considered subcategories in our corpus.

|   |   |
|---|---|
| Coherence - Unclear Introduction  | <Explanation: The student should outline the main ideas in the introductory paragraph to let the readers know what he/she is going to say in the upcoming paragraphs.>  |
| Coherence - Unclear Scope   | <Explanation: The student should give an outline of his/her arguments to prepare the readers.>  |
| Coherence - Unclear Thesis Statement  | <Explanation: The thesis statement is not clearly written.>   |
| Coherence - unclear Topic sentence  | <Explanation: The topic sentence does not outline the main idea of the paragraph.>  |
| Conjunction missing OR wrong use<br>- Conjunction - Wrong Use<br>Conjunction Missing  | She drives very fast [so that] she gets a speeding ticket.<br>Fungi help the absorption of nutrients and water for plants, [] they can increase crop production.  |
| Delete this (unnecessary)   | The manager must choose the best way [between the solutions] to solve it.   |
| Heading - inappropriate   | Why fungi can be our friend and foe?  |
| Heading - missing   | <Explanation: The student should use keywords to give the focus of the following paragraph(s) / section.>   |
| Illustration  | <Explanation: It may be helpful to use an example to illustrate the idea.>  |
| Informal language<br>- Informal language - Bullet points<br>- Informal language - Contractions<br>- Informal language - Headings<br>- Informal language - Personal pronouns<br>Informal language - Rhetorical | There are many reasons contributing to the success of marriage: 1) Communication 2) Patience 3) A caring attitude<br>The government can't resolve this problem quickly.<br>[Analysis of the sentence] We discovered the verbs were foregrounded and this gave a poor balance to the sentence.<br>You should not reserve seats in the library.<br>Why were the results faulty? |
| Missing part of the verb-to BE  | They [] against this proposal.  |
| Modal - missing   | We [] study hard to pass the exam.  |
| Modal - wrong use   | He [would] change his job next year.  |
| Noun - countable  | Most of the [computer] are new.   |
| Noun - gerund   | [Swim] is my favorite sport   |
| Noun - missing  | It is true that students always enjoy [].   |
| Noun - uncountable  | There is a lot of informations available on this topic.   |
| Paragraph - new paragraph   | <Explanation: One paragraph contains one topic/idea. A new topic/idea needs a new paragraph.>   |
| Part of Speech - Incorrect Use<br>- Part of speech – noun needed<br>- Part of speech – verb needed<br>- Part of speech – adjective needed<br>Part of speech – adverb needed                                   | [Convenient] is very important.<br>The soldiers [defense] the city.<br>It was [convenience].<br>She sings [beautiful].  |
| Phrasal verb  | Please [fill] the questionnaire.  |
| Preposition - missing   | To prevent moisture [] entering the plate   |
| Preposition - unnecessary   | The video discussed [about] the importance of temperature on the growth of plants.  |
| Preposition - wrong use   | I have to finish this work [until] tomorrow   |
| Pronoun - Agreement between Demonstrative Pronoun and Nouns   | [This] three carbon molecules undergo different reactions.  |
| Pronoun - unclear reference   | The fungus secretes an enzyme. However, [it] denatures at a low temperature.  |
| Pronoun - wrong use   | Everybody except [she] was sick.  |
| Pronoun Missing   | They will not come because [] have other plans for the weekend.   |
| Punctuation - capitalisation  | The two fungi are [pyncoporous] sp. and [cladosporium] sp..   |
| Punctuation - missing   | I am working on two projects [] namely nodal and mesh analyses.   |

|   |   |
|---|---|
| Punctuation - wrong use                     | Since it is raining[.] We will not go hiking today.   |
| Question - Do support                       | Where [] you live?  |
| Reference<br>Reference - missing or unclear | Britain's most dangerous road is a section of highway linking Lancashire and the Yorkshire Dales.                             |
| Relative pronoun - missing                  | The student gave the presentation [] made some interesting points.  |
| Relative pronoun - unclear reference        | The father of John Smith [who] was very young when he became a senator was also quite rich.                                   |
| Relative pronoun - wrong use                | Hong Kong, [that] has a lot of restaurants, offers many different kinds of food.  |
| Sentence - Fragment                         | Fungi helping plants grow.  |
| Sentence - New sentence                     | She went to buy a new hat[,] it was difficult to find one.  |
| Spelling                                    | Fungi can turn a place into a [dessert].  |
| Subject - Dangling Modifier                 | Being a prestigious customer of our bank, we are pleased to offer you a 30-day interest free loan.                            |
| Subject - Dummy subject                     | [] Rains every day here.  |
| Subject Missing                             | They don't want to pay higher taxes, but [] forces them.  |
| The Genitive                                | Everyone enjoys their [holiday of two weeks].   |
| Verb - active voice                         | This crime [was happened] very often.   |
| Verb - bare infinitive                      | Our teacher made us [to learn] many new words.  |
| Verb - gerund needed                        | We spent the whole morning [work] on the project.   |
| Verb - intransitive                         | I [heard] very hard but I still didn't understand.  |
| Verb - missing                              | When I [] up that morning, it was still dark.   |
| Verb - Participles                          | I am [interest] in football.  |
| Verb - passive voice                        | The results [calculate].  |
| Verb - Past Perfect                         | After I [gave] in my work, I was told the rules of the assignment had changed.  |
| Verb - past simple                          | I [was needing] a great deal of money.  |
| Verb - Present Perfect                      | Even though recent studies [revealed] that E.coli is not a comprehensive indicator, this does not affect scientific interest. |
| Verb - present simple                       | I [am thinking] he is a good man.   |
| Verb - simple future                        | If you turn the key to the left two times, the door [opens].  |
| Verb - subject-verb agreement               | The secretary to the teachers [were] very smart.  |
| Verb - To-infinitive                        | I want [learn] a lot of new vocabulary.   |
| Verb - transitive                           | Our teacher [said] us a long story.   |
| Word choice<br>- Word choice – Collocation  | Then I shall [promote to] a university course.  |
| Word choice - Level of formality            | The [pics] of the construction site are enclosed.   |
| Word order                                  | Not only [this company can] draft a proposal, but also help with promotional activities.                                      |